

IN THE UNITED STATES PATENT AND TRADEMARK
OFFICE
REQUEST FOR FILING
(RULE 53(b)(1))

JC698 U.S. PTO



01/11/02



00909

FOR DESIGN OR UTILITY APPLICATIONS
(DO NOT USE FOR CIPs)

Rule 53(b)(1) PATENT APPLICATION:

Continuation)
) application under 37 CFR 1.53(b)(1)

Divisional)
of pending prior application of

Group Art Unit: 2765

Inventor(s): FRANKEL et al.

Examiner: H. Kazimi

Parent Appln. No.: 09 | 026,466
Series Code ↑ | Serial No. ↑Atty. Dkt. P 282618 |
New M# | Client Ref

Parent Filed: February 19, 1998

This Appln. Filed: January 11, 2002

jc997 U.S. PTO
10/042335
01/11/02

Title: COMPUTER-BASED METHOD AND SYSTEM FOR AIDING TRANSACTIONS

Hon. Commissioner of Patents
Washington, DC 20231Date: January 11, 2002
(Parent Matter No. 251065)

Sir:

To effect the above-requested filing today:

Attached is a copy (**which must be filed**) of the prior application, including:

Abstract
 Specification and claims (24 pages) (**must be attached**)
 Drawings (**must be attached if originally filed**): 2 sheet(s)/set: 1 set informal; Formal of size A4 11"

4A. Always X one box, only:

(1) Copy of Signed declaration or oath as originally filed in prior application attached
(2) NO declaration or fee is enclosed; therefore, this is a filing under Rule 53(f).

2. This application is hereby filed by less than all of the inventors named in the prior application. Petition is hereby made requesting deletion as inventor(s) of the following who is/are not inventor(s) of the invention being claimed in this application (DELETE THE FOLLOWING INVENTOR(S)):

1. _____
3. _____
5. _____
7. _____

2. _____
4. _____
6. _____
8. _____

2.5 THE INVENTOR(S) FOR THIS NEW APPLICATION IS(ARE):

1. FRANKEL, Yair
3. MONTGOMERY, Charles T.
5. _____
7. _____

2. KRAVITZ, David W.
4. YUNG, Marcel M.
6. _____
8. _____

3. The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference thereto.

4. Priority is claimed under 35 U.S.C. 119/365 based on filing in _____ of _____ (country)

<u>Application No.</u>	<u>Filing Date</u>	<u>Application No.</u>	<u>Filing Date</u>
(1) _____	_____	(2) _____	_____
(3) _____	_____	(4) _____	_____
(5) _____	_____	(6) _____	_____

a. _____ (No.) Certified copy/copies attached.
 b. Certified copy/copies previously filed on _____ in
 U.S. Application No. _____ / _____, filed on _____
 series code ↑ ↑ serial no.
 c. Certified copy/copies filed during International stage of PCT/ _____ / _____

4(a). (a) Domestic priority is claimed from PCT/ _____ / _____, filed _____.
 (b) Benefit is claimed of Provisional Application No. 60/_____, filed _____.
 5. Assignee (optional) _____ CertCo, Inc.

6. Attached is the following number of Assignments (including original and all later successive ones by different assignors): 1 and respective new Cover Sheets. (Do NOT file old cover sheets.)

(Assignments in parent must be refiled with new Cover Sheets in this continuing application if you want it/them recorded against the continuing application.)

Please return the recorded Assignment to the undersigned.

The power of attorney in the prior application is to Dale S. Lazar Reg. No. 28872

(Name and Reg. No.)
 whose current address is as in item 8 below.

a. Recognize as associate attorney Brian Siritzky Reg. No. 37497 and Jean-Paul Hoffman No. 42663

(Name, Reg. No. and Address)

**Address all future communications to Intellectual Property Group
 of Pillsbury Winthrop LLP, 1600 Tysons Boulevard, McLean, VA 22102**

9. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. 09/026,466 filed February 19, 1998

No. / filed _____

No. / filed _____

No. PCT/ / filed _____, which
 designated the U.S. and that International Application was was not published under PCT Article 21(2) in English

9(a). See the attached Preliminary Amendment, which amends the first page of the specification to claim benefit of the above listed US application(s)

10. **Small Entity Status →** is Not claimed is claimed (pre-filing confirmation required)

(No.) Small Entity Statement(s) (not essential since 9/8/00) were/are:

filed in above prior application

attached.

11. Petition to extend the life of the above prior application to at least the date hereof

(one box) is being concurrently filed in that prior application (Use Form PAT-111).

(must be) was previously filed in that prior application (Check length of prior extension).

(X'd) is not necessary for copendency (Double check before X'ing this box).

12. **INFORMATION DISCLOSURE STATEMENT:** Attached is Form PTO-1449 listing all of the documents cited by Applicant and the PTO in the parent application(s) relied upon under 35 USC 120 and referenced in item 9 above. Per Rule 98(d) copies of those documents are not required now. Please consider those documents and advise that they have been considered in this new application as by returning a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP 609. .

13. Attached is a Rule 103(a) Petition to Suspend Action.

14. **Please see** the attached Preliminary Amendment which reduces the number of claims for purposes of reducing the initial filing fee.

FILING FEE

THE FOLLOWING FILING FEE IS BASED ON

->->->CLAIMS AS FILED AND CHANGED BY PRELIMINARY AMENDMENT IN ITEM 14<-<-<-

NOTE: If box 1A2 is X'd, do not pay fees,
but leave lines 15-22 and 27-32 blank.

				Large/Small Entity	Fee Code
15. Basic Filing Fee	Design Application	\$330/\$165			106/26
16. Basic Filing Fee	Utility Application	\$740/\$370	+740		101/201
17. Total Effective Claims	2	minus 20 =	0	x \$18/\$9	+0
18. Independent Claims	2	minus 3 =	0	x \$84/\$42	+0
19. If any proper multiple dependent claim (ignore improper) is present,				\$280/\$140	+0
20.				Subtotal =	\$740
21. If "petition" box 13 above is X'd, add petition fee.	\$130			+0	122
21A. If box 6 above is X'd, add Assignment recording fee	\$ 40			+40	581
				TOTAL FILING FEE ATTACHED =	\$780
(carry forward to Item 31)					

22. **TOTAL FILING FEE ATTACHED =** **\$780**

(carry forward to Item 31)

23. ATTACHED:

24. See the attached Preliminary Amendment

25. See NONPUBLICATION REQUEST under Rule 213(a) attached (Pat-258)

26.

**ADDITIONAL FEE CALCULATION FOR
PRELIMINARY AMENDMENT
PER BOXES 24/25**

	Claims remaining after amendment	Highest number previously paid for	Present Extra	Additional Fee	
				<u>Large/Small Entity</u>	<u>File Code</u>
27.	Total Effective Claims <u>3</u>	minus ** <u>20</u>	= <u>0</u>	x \$18/\$9 = \$ <u>0</u>	(103/203)
28.	Independent Claims <u>3</u>	minus *** <u>3</u>	= <u>0</u>	x \$84/\$42 = + <u>0</u>	(102/202)
29.	If amendment enters proper multiple dependent claim(s) into this application for the <u>first time</u> , add (per application)			+\$280/\$140	+ <u>0</u> (104/204)
30.				ADDITIONAL FEE	\$ <u>0</u>
31.			<u>plus FEE from item 22 on page 3</u>		+ <u>780</u>
32.				TOTAL FEE ATTACHED	\$ <u>780</u>

33. *If the entry in this space is less than a entry in the next space, the "Present Extra" result is "0"

34. **If the "Highest number previously paid for" (see item 17 above) is less than 20, write "20" in this space



35. If the "Highest number previously paid for" (see item 18 above) is less than 3, write "3" in this space

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Our Deposit Account No. 03-3975
 Our Order No. 61047 | 282618
 C# | M#

CHARGE STATEMENT: Upon the filing of a Declaration pursuant to Rule 60(b) or 60(d), the Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
 Intellectual Property Group

By Atty: Jean-Paul Hoffman

Reg. No. 42663

Sig:

Fax: (703) 905-2500
 Tel: (703) 905-2094

Atty./Sec JH/ksh

NOTE No. 1: File this Request in duplicate with 2 postcard receipts (PAT-103) & attachments
 NOTE No. 2: Is extension in parent necessary for copendency? DOUBLE CHECK Item 11 above.
 If yes, printout Pat-111 and head it in parent.